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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Kenneth A. Brasel, Stewart D. Lyman,  
Eugene Maraskovsky, Hilary J. McKenna,  
David H. Lynch and Charles R.  
Maliszewski

Docket No.: 2836-D

Group Art Unit: 1644

Examiner: F.P. VanderVegt

Serial No: 09/448,378

Filed: November 23, 1999

CPA Filed: June 28, 2001

For: DENDRITIC CELL STIMULATORY FACTOR

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

BOX CPA  
Assistant Commissioner for Patents  
Washington, DC 20231

EXPRESS MAIL LABEL NUMBER: EL591094896US

I hereby certify that the enclosed correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date listed below, and is addressed to BOX CPA, Assistant Commissioner for Patents, Washington, D.C. 20231.

Continued Prosecution Application Request Transmittal (+ copy)  
Request for Extension of Time (+ copy)  
Preliminary Amendment (15 pages including revisions)  
Cited Reference (first page)  
Exhibits A-D (first page)  
Petition to Correct Inventorship (unexecuted)  
Postcard

Signed: Nanci M. Kertson  
Nanci M. Kertson

Date: Jun. 28, 2001



09/448,378

164

|   |   |
|---|---|
| <b>CONTINUED PROSECUTION APPLICATION (CPA)</b><br><b>REQUEST TRANSMITTAL (Large Entity)</b><br><b>Submit an original, and a duplicate for processing</b><br><i>Only for Continuation or Divisional applications under 37 C.F.R. 1.53(d)</i> | Docket No.:<br><b>2836-D</b>                    |
|   | Express Mail Label No.:<br><b>EL591094896US</b> |

|                             |                 |                       |
|-----------------------------|-----------------|-----------------------|
| <b>First Named Inventor</b> | <b>Examiner</b> | <b>Group/Art Unit</b> |
| Kenneth A. Bras I           | F. Vander Vegt  | 1644                  |

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**  
**BOX CPA**  
**Washington, D.C. 20231**

This is a request for filing a continuation application under 37 C.F.R. 1.53(d) (continued prosecution application (CPA)) of prior application serial No. 09/448,378 filed on November 23, 1999.

Title:

|  |
|--|
| <b>DENDRITIC CELL STIMULATORY FACTOR</b> |
|--|

1. ☐ Enter the unentered amendment previously filed on under 37 C.F.R. 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. ☒ An extension of time in the prior application:
  - a. ☒ is filed concurrently.
  - b. ☐ was filed on .
4. ☐ A new power of attorney or authorization is enclosed.
5. ☒ The fee for this application is calculated as follows:

| <b>CLAIMS AS FILED (after any Preliminary Amendment submitted herewith)</b> |         |           |         |           |                  |
|---|---------|-----------|---------|-----------|------------------|
| For   | # Filed | # Allowed | # Extra | Rate      | Fee              |
| Total Claims  | 37      | - 20 =    | 17      | x \$18.00 | \$306.00         |
| Indep. Claims   | 3       | - 3 =     | 0       | x \$80.00 | \$0.00           |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/>    |         |           |         |           | \$0.00           |
| <b>BASIC FEE</b>  |         |           |         |           | <b>\$710.00</b>  |
| OTHER FEE (specify purpose)   |         |           |         |           | \$0.00           |
| <b>TOTAL FILING FEE</b>   |         |           |         |           | <b>\$1016.00</b> |

6. ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0089 as described below.
  - ☒ fees required under 37 C.F.R. 1.16
  - ☒ fees required under 37 C.F.R. 1.17
7. ☒ Also enclosed:
  - Postcard
  - Certificate of Express Mailing
  - Petition to Correct Inventorship Under 37 CFR §1.48(a) (unexecuted)
  - Exhibits A-D
  - Cited Reference (1)

Correspondence address:

James E. Klaniiecki  
 Registration No. 38,207

Dated: June 28, 2001



**22932**

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07/02/2001 HNDOR1 00000122 090089 09448378  
 01 FC:131 710.00 CH  
 02 FC:103 306.00 CH